

Docket No.: M1071.1954

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shigeyuki Horie et al.

Application No.: 10/565,168

Filed: August 27, 2006

Art Unit: 2831

For: MONOLITHIC CERAMIC ELECTRONIC

COMPONENT AND METHOD FOR MANUFACTURING THE SAME

Examiner: Not Yet Assigned

Confirmation No.: 7250

REQUEST FOR CORRECTED FILING RECEIPT AND NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.495

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt and a corrected Notice of Acceptance of Application under 35 U.S.C. 371 and 37 CFR 1.495 be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has the following errors: The Title is incorrect and the Assignment information is not listed.

Please correct the Title to be:

MONOLITHIC CERAMIC ELECTRONIC COMPONENT AND METHOD FOR MANUFACTURING THE SAME

Application No.: 10/565,168 Docket No.: M1071.1954

Please add the following Assignment information to the Filing Receipt:

Murata Manufacturing Co., Ltd.

The Notice of Acceptance of Application under 35 U.S.C. 371 and 37 CFR 1.495, a copy of which is attached hereto, has an error in the Date of Completion of All 35 U.S.C. 371 Requirements.

Please correct the Date of Completion of All 35 U.S.C. 371 Requirements to reflect the following date, which is the Filing Date and the Date of Receipt of All Requirements: 01/19/2006

We have attached a copy of the previously submitted executed Declaration and Power of Attorney and the Notice of Recordation of Assignment for your reference.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

No fee is required. However, The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. M1071.1954.

Dated: March 6, 2007

Respectfully submitted,

Edward A. Meilman

Registration No.: 24,735 DICKSTEIN SHAPIRO LLP Application No.: 10/565,168 Docket No.: M1071.1954

1177 Avenue of the Americas 41st Floor New York, New York 10036-2714 (212) 277-6500 Attorney for Applicant



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vignina 22313-1450

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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/565,168	01/19/2006	2831	1000 ECE	M1071.1954	2 CONFIRMA	22 ATION NO. 7	1
32172 DICKSTEIN SHAPIRO 1177 AVENUE OF THE NEW YORK, NY10036-	AMERICAS	` {	MAR 2	2 2007	NG RECEIF	PT iled: 02/27/20	007
Receipt is acknowledge notified as to the results DATE, NAME OF APP transmitted by check or this receipt. If an error	s of the exam LICANT, and draft are sub	ination. Be s I TITLE OF Dject to collec	ture to provide to INVENTION what to the invention of the	he U.S. APPLIC nen inquiring at rify the accuracy	CATION NU bout this apply of the da	JMBER, FILI pplication. For the presented to the presente	NG ees on

DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shigeyuki Horie, Sakai-gun, JAPAN; Tomohiro Dozen, Okayama-shi, JAPAN; Takashi Noji, Echizen-shi, JAPAN; Tatsuo Furusawa, Sabae-shi, JAPAN; Takaaki Kawai, Echizen-shi, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 32172

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/01418 02/01/2005

Foreign Applications

r creign Approacions	
JAPAN 2004-054807 02/27/2004	Murata Manufacturing Co., L
Assignment for Application.	10 totala translationing of
If Required, Foreign Filing License Granted: 02/07/2	2007
The country code and number of your priority application US10/565,168	on, to be used for filing abroad under the Paris Convention, is
Projected Publication Date: 05/17/2007	
Non-Publication Request: No	
Early Publication Request: No	DSMO FILE NO. M 671. 1954
Title	DUE:

C/L: ___

ENTERED BY:

ATTY: BAM

Insulating ceramic composition, insulating ceramic sintered compact, and mololithic ceramic electronic component

Preliminary Class

361

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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MAR DE STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1430 Advanced Versies 23113-1459

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	AT	TY. DOCKET NO
10/565,168	Shigeyuki Horie	1	M1071.1954
		INTERNATIONAL A	PPLICATION NO.
		РСТ/ЈР0:	5/01418
32172	•	I.A. FILING DATE	PRIORITY DATE
DICKSTEIN SHAPIRO LLP 1177 AVENUE OF THE AMERICAS (6	HADEGUEE VE	02/01/2005	02/27/2004
NEW YORK, NY 10036-2714		CONFIRI 371 ACCEPTANCE	
Date Mailed: 02/27/2007			

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

01/19/2006

01/19/2006 -<u>08/27/2006</u>

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

•	Copy of the international Application filed on 0 if 19/2000
•	English Translation of the IA filed on 01/19/2006

- Convert the International County December 1 of 140/000
- Copy of the International Search Report filed on 01/19/2006
- Preliminary Amendments filed on 01/19/2006
- Information Disclosure Statements filed on 01/19/2006
- Oath or Declaration filed on 01/19/2006
- U.S. Basic National Fees filed on 01/19/2006
- Assignment filed on 01/19/2006

DSMO FILE NO. <u>M 1071.1954</u>
DUE:
C/L:
ENTERED BY: 9.5
ATTY: EAM form

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 3 - OFFICE COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

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As a below named inventor, I hereby believe that I am the original, first an matter which is claimed and for which	declare mai: my re	sidence, pos	t office address	and citizenship are a v) or a joint inventor	s stated be (if plural in	low nex	t to my nan	ne; that I verily	
MONOLITHIC CERAMIC ELEC								y or one subject	
the specification of which is attached	hereto unless the fo	Men I AM	J MIC I HOD FO	OR MANUFACTUR	RING THE	SAME			
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application number PCT/JP2	2005/0014 and 18	was amend	ed on			if any).			
I hereby state that I have reviewed		contents of	the above identi	fied enecification in	•	• •			
amendment referred to above.	all info		are above rucill	men specification, in	cluding the	claims,	as amende	d by any	
l acknowledge the duty to disclose Regulations, §1.56.	an mitoringhon 1010.	wn to be ma	iterial to patenta	bility in accordance	with Title 3	7, Code	of Federal	1	
I hereby claim priority benefits uno States provisional application(s) listed before that of the application on which	der Title 35, United : below and have als priority is claimed:	States Code o identified	\$119 of any fore below any fore	eign application(s) for pa	or patent or stent or inve	invento ntor's c	or's certifica entificate ha	ate or United	
Prior Foreign or Provisional Applicati	•								
COUNTRY	APPLICATIO	ON NUMBI	R	DATE OF FILM	√Ó		PRIORIT	Y CLAUMED	
Japan	2004-054807			(day, month, year 27 February 20		-	UNDER 35 U.S.C. § 119		
				277 Colday 20	-	· [4	YES	∐ NO	
						╌┼┾	YES	∐ NO	
I hereby claim the benefit under Tit of each of the claims of this application	le 35, United States	Code, §120	of any United	States application(s)	isted below	and in	YES	NO NO	
Regulations, §1.56 which became avail application.									
UNITED STATES APPLICATION NUMBER	DATE OF (day, mon	FILING			STAT	US			
	Lusy, mon	us, year)		(paten	ted, pendin	g, abana	doned)		
									
I hereby appoint customer no. 32172, the Patent & Trademark Office connect	as attorneys with ful ed therewith and to	l power of s	ubstitution and	revocation to prosecu	ute this app	lication	, to transaci	all business in	
SEND CORRESPONDENCE TO:	DICKSTEIN SHAP	IRO MORI	N & OSHINSI	∨ IID					
1177 Avenue of the A	Americas, 41st Floo	or, New Yor	rk, New York	10036-2714	(21)	2ነ ጸ35	1 <i>4</i> 00	E CALLS TO:	
In the event that the filing date and/o necessary, I hereby authorize and reques	r Application No. ar st my attorneys/agen	e not entere	d above at the t	ime I execute this do	cument, and	if such	informatio	o is deemed	
I hereby declare that all statements moe true; and further that these statements imprisonment, or both, under Section 10 application or any patent issued thereon.	ace nerein of my ov	n knowledg	ge are true and (hat all statements ma	de on infor	metion	and halists	ue believed to	
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ULL NAME OF SOLE OR FIRST INVENTOR			INVENTOR'S SE	GNATURE		DATI	7		
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ESIDENCE (City and either State or Foreign Co	umtry)			COUNTRY OF CTTIZE			/dnuay	, 5,2006	
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Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokakyo-shl Kyoto; 617-8555; JAPAN									
LL NAME OF SECOND JOINT DIVENTOR (FANY)	NVENTOR'S	SIGNATURE						
Tornohiro Dozen			_	√n.	DATE (2nm	mr4/1	1,2006	
SIDENCE (City and either State or Foreign Con	mtry)		ZBMOPUST	COUNTRY OF CITIZEN		70000	- T		
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Kyoto; 617-8555; JAPAN									

DOCSNY.176395.1

	YES	AIMED S.C. § 11"
Thereby declare that all statements made herein of my own knowledge are true and that all statements made on information and to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are put imprisonment, or both, under Section 1901 of Title 18 of the United States Code and that such willful false statements may jeopard the application or any patent issued thereon. FULL NAME OF THIRD DOINT INVENTOR (s any) Takashi Noji RESIDENCE (City and either State or Foreign Country) Echizen-shi, Fukui-ken, Japan POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokalyo-shi Kyoto; 617-8555; JAPAN RESIDENCE (City and either State or Foreign Country) Sabae-shi, Fukui-ken, Japan POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokalyo-shi Kyoto; 617-8555; JAPAN RESIDENCE (City and either State or Foreign Country) Sabae-shi, Fukui-ken, Japan POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokalyo-shi Kyoto; 617-8555; JAPAN	YES YES YES YES YES YES YES YES	NO NO NO NO NO NO NO NO
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are put imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopard the application or any patent issued thereon. FULL NAME OF THIRD JORNT INVENTOR (# ANY) Takashi Noji RESIDENCE (City and either State or Foreign Country) Echizen-shi, Fukui-ken, Japan POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, HigashRotari 1-chome Nagaokalyo-shi Kyoto; 617-8555; JAPAN RESIDENCE (City and either State or Foreign Country) Sabae-shi, Fukui-ken, Japan POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, HigashRotari 1-chome Nagaokalyo-shi Kyoto; 617-8555; JAPAN FOST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, HigashRotari 1-chome Nagaokalyo-shi Kyoto; 517-8555, JAPAN	YES YES YES YES YES YES YES	NO
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are put imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopard the application or any patent issued thereon. FULL NAME OF THIRD JOINT INVENTOR (IF ANY) Takashi Noji INVENTOR'S SIGNATURE Takashi Noji POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokakyo-shi Kyoto, 617-8555; JAPAN POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokakyo-shi, Fukui-ken, Japan POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokakyo-shi (Thurusawa) RESIDENCE (City and either State or Foreign Commy) Sabae-shi, Fukui-ken, Japan POST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokakyo-shi (Kolo) 617-8555; JAPAN	YES YES YES YES YES	NO NO
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OST OFFICE ADDRESS Murata Manufacturing Co., Ltd. 10-1, Higashikotari 1-chome Nagaokakyo-shi Kyoto; 617-8555; JAPAN		